Practitioner's Docket No.

915-001.081

CHAPTER II

#### IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/FI04/000545	September 17, 2004	September 18, 2003
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
A method and a device	for addressing data in a	wireless network
TITLE OF INVENTION		
Matti KALERVO et al.		
APPLICANT(S)		

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

(check and complete the applicable item, if applicable)

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

#### EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date <u>January 10, 2007</u>, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. <u>EV 914765961</u> US

Lissette Ramps (type or print fartis of person mailing paper)

Signature of person certifying

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing, 37 C.F.R. 1.10(b).

"Since the filing of correspondence under \$ 1.10 without the Express Mail mailing label themon

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)

[13-19]—page 1 of 6)

WARNING: Where the items being submitted to complete the entry of the international application into the national phase are subsequent to 30 months from the priority date the application is still considered to be in the international state and if mailing procedures are utilized to obtain a date the express mail procedure of 37 C.F.R. § 1.10 must be used (because international application papers are not covered by an ordinary certificate of mailing. 37 C.F.R. § 108(2)(xi).

NOTE: Documents and fees must be clearly identified as a submission to enter the national stage under 35 U.S.C. § 371. Otherwise, the submission will be considered as being made under 35 U.S.C. § 111. 37 C.F.R. § 1.495(g).

#### **DECLARATION OR OATH**

NOTE: 37 C.F.R. § 1.495(c): "If applicant complies with paragraph (b) of this section before expiration of thirty months from the priority date but omits . . . the oath or declaration of the inventor (35 U.S.C. 371(c)(4) and § 1.497), if a declaration of inventorship in compliance with § 1.497 has not been previously submitted in the international application under PCT Rule 4.17(iv) within the time limits provided for in PCT Rule 26ter.1, applicant will be so notified and given a period of time within which to file the . . . oath or declaration in order to prevent abandonment of the application. . . . The payment of the surcharge set forth in § 1.492(e) is required for acceptance of the oath or declaration of the inventor flater than the expiration of thirty months after the priority date."

I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

#### OR

The declaration or oath that was filed was determined to be defective. A new original	al
oath or declaration is attached.	

NOTE: For surcharge fee for filing declaration after filing date complete item IV(2).

NOTE: Acceptable minimums in the declaration in an ordinarily filed U.S. application for identification of the specification to which it applies are:

- (A) application number (consisting of the series code and the serial number, e.g., 08/123,456);
- (B) serial number and filing date;
- (C) attorney docket number which was on the specification as filed;
- (D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or
- (E) title of the inventor which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration.

M.P.E.P. § 602, 8th ed.

NOTE: Another minimum found acceptable in the declaration is the filing date (i.e., date of express mail) and the express mail number, useful where the serial number is not yet known. But note the practice where the express mail deposit is a Saturday, Sunday or holiday within the District of Columbia. 37 C.F.R. § 1.10(c).

NOTE: See 37 C.F.R. § 1.41(a).

The original oath was objected to. A new original oath is attached.

(complete (c) or (d), if applicable)

#### Attached is a

- (c) Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.
- (d) Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

(Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)

[13-19]—page 2 of 6)

H	<b>l.</b>		(complete as applicable)	
			An amendment in accordance with 37 C.F.R. § 1.121 is at	tached.
			☐ The attached amendment cancels claims in	nclusive.
			TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS	ı
II	n language internations this translation be 7 C.F.R. § 1.495(c))			
	NOTE: For fee for processing a non-English application and submission of an English months after the priority date, complete item IV(3).			
	NOTE		non-English oath or declaration in the form provided or approved by the PTC $^{\prime}$ C.F.R. $\S$ 1.69(b).	need not be translated.
			FEES	
ľ	٧.			
	1.	Exa	mination, Search and Additional Page Fee	
	WAR	NING	: The USPTO is considering changing the amount of the search fee and e in national stage in the near future. Please refer to www.uspto.gov for to	
			Examinatin Fee	
			Search Fee	
			Additional Page Fee	
	NOTE	E: Se	ee 37 C.F.R. § 1.28(a).	
	2.	Fee	s for claims	
			each independent claim in excess of 3 (37 C.F.R. § 1.492(b))—\$200.00; small entity—\$100.00 each claim in excess of 20 (37 C.F.R. § 1.492(c))—\$50.00; small entity—\$25.00 multiple dependent claims(s) (37 C.F.R. § 1.492(d))—\$360.00; small entity—\$180.00	\$ \$
	3.	Sur	charge fees	
		9	Surcharge for filing the oath or declaration later than thirty months from the priority date pursuant to § 1.495(c) and § 1.492(e): \$130.00; small entity—\$65.00	<b>\$</b> _130.00
N		E: 11	ne processing fee in the next item 3 below is not subject to a reduction fo	r small entity status.
	4.			
			For filing an English translation of an international application later than thirty months after the priority date (§ 1.495(c)) and § 1.492(f): \$130.00	\$
	5.	Fε	ee for Assignment Recordation <b>Total fees</b>	\$ 40.00 \$ 170.00
01/12/2007 PEDEV4	ለለለ		ompletion of Filing Requirements for International Application Entering U.S.	Elected Office (EO/US) [13-19]—page 3 of 6)
01/12/2007 GFREY1	VVV	vvv84	10572700	
01 FC:1617			130.00 OP	

### **SMALL ENTITY STATUS**

V. a.  An assertion that this filing is by a small NOTE: See 37 C.F.R. § 1.28(a).	entity
(check and complete applic	able items)
is attached.  was filed on  was made by paying the basic nation is being made now by paying the basic b. A separate refund request accompanies the	sic national fee as a small entity.
EXTENSION OF T	IME
(complete (a) or (b), as ap	pplicable)
VI. The proceedings herein are for a patent application C.F.R. § 1.136(a) apply.	on. Accordingly, the provisions of 37
(a) Applicant petitions for an extension of tim 37 C.F.R. § 1.17(a)(1)-(4), for the total nur	
□ one month       \$ 120.00         □ two months       \$ 450.00         □ three months       \$ 1,020.00         □ four months       \$ 1,590.00         □ five months       \$ 2,160.00	\$ 60.00 \$ 225.00 \$ 510.00 \$ 795.00 \$ 1,080.00
Fee:	\$
If an additional extension of time is required, pleas	se consider this a petition therefor.
(check and complete the next itel	m, if applicable)
An extension for months has therefor of \$ is deducted months of extension now requested.  Extension fee due with this request \$ or  (b) Applicant believes that no extension of tertional petition is being made to provide for	ed from the total fee due for the total  m is required. However, this condi-
inadvertently overlooked the need for a pe	
VII. The total fee due is:  Completion fee(s)	<b>\$</b> 170.00
Extension fee (if any)	\$
, , ,	TAL FEE DUE \$ 170.00
(Completion of Filing Requirements for International Appl	•

### PAYMENT OF FEES

VIII.	
☑ Atta	ached is a  check  money order in the amount of \$\frac{170.00}{200.000}
☐ Autl	horization is hereby made to charge the amount of \$
	to Deposit Account No
	to Credit card as shown on the attached credit card information authorization form PTO-2038.
WARNING: Cr	edit card information should not be included on this form as it may become public.
	arge any additional fees required by this paper or credit any overpayment he manner authorized above.
A duplic	cate of this paper is attached.
	AUTHORIZATION TO CHARGE ADDITIONAL FEES
IX.	
	curately count claims, especially multiple dependant claims, to avoid unexpected high charges extra claims are authorized.
or future as incor charge a const for an e in § 1.1 reply re	the request may be submitted in an application that is an authorization to treat any concurrent the reply, requiring a petition for an extension of time under this paragraph for its timely submission, reporating a petition for extension of time for the appropriate length of time. An authorization to all required fees, fees under § 1.17, or all required extension of time fees will be treated as tructive petition for an extension of time in any concurrent or future reply requiring a petition extension of time under this paragraph for its timely submission. Submission of the fee set forth 17(a) will also be treated as a constructive petition for an extension of time in any concurrent equiring a petition for an extension of time under this paragraph for its timely submission." 37 § 1.136(a)(3).
reasona	nts of twenty-five dollars or less will not be returned unless specifically requested within a ble time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may med by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).
C.F.R. ( has bee authoriz stage ui	vious practice of holding applications abandoned if an authorization to charge fees under 37 § 1.16 has been provided instead of an authorization to charge fees under 37 C.F.R. § 1.492 on changed. The Office amended 37 C.F.R. § 1.25(b), effective November 7, 2000, so that an eation to charge fees under 37 C.F.R. § 1.16 in an international application entering the national under 35 U.S.C. § 371 is now accepted by the Office as an authorization to charge fees under R. § 1.492.
	ase charge, in the manner authorized above, the following additional fees that be required by this paper and during the entire pendency of this application:
	basic fee
	presentation of extra claims
	search fee
	examination fee
must on set for n to autho	e additional fees for excess or multiple dependent claims not paid on filing or on later presentation ally be paid or these claims cancelled by amendment prior to the expiration of the time period response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not wrize the PTO to charge additional claim fees, except possibly when dealing with amendments all action.
(Complet	tion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)

[13-19]—page 5 of 6)

		37 C.F.R. § 1.17 (app	lication processing fees)			
		37 C.F.R. § 1.17(a)(1)-	(5) (extension fees pursuant to § 1.136(a).			
		37 C.F.R. § 1.16(s) (ac paper over 100 sheets	dditional fee for specification and drawings filed in			
		37 C.F.R. § 1.18 (issurpursuant to 37 C.F.R.	se fee at or before mailing of Notice of Allowance, § 1.311(b).			
NOTE:	NOTE: Section 1.311(b) provides that an authorization to charge the issue fee (§ 1.18) to a deposit account may be filed in an individual application only after the mailing of the notice of allowance. Accordingly, general authorizations to pay fees and specific authorizations to pay the issue fee that are filed prior to the mailing of a notice of allowance will generally not be treated as requesting payment of the issue fee and will not be given effect to act as a reply to the notice of allowance. Applicant, when paying the issue fee, should submit a new authorization to charge fees, such as by completing box 6b on the current PTOL-85B form. Where no reply to the notice of allowance is received, the application will stand abandoned notwithstanding the presence of general authorizations to pay fees or a specific authorization to pay the issue fee that were submitted prior to mailing of the notice of allowance. Where an attempt is made to pay the issue fee but an incorrect amount is submitted, § 1.311(b)(1), or where the Office's issue fee transmittal form (currently PTOL-85(B)) is completed by applicant and submitted, § 1.311(b)(2), in reply to a notice of allowance, an exception will be made. Such submissions will operate as a request to charge the issue fee to any deposit account identified in a previously filed (i.e., submitted prior to the mailing of the notice of allowance) authorization to charge fees, and will be allowed to act as payment of the correct issue fee. § 1.311(b). See also the change to § 1.26(b). Notice of September 8, 2000, Fed. Reg. 54603-54683, at 54646 and 54647.					
NOTE:	NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application prior to paying, or at the time of paying issue fee." From the wording of 37 C.F.R. § 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.					
		37 C.F.R. § 1.492(e) and	d/or (f) surcharge fees for filing the declaration and/or of an international application later than 30 months			
WARNII		yould be wise to always check	•			
		cold be wise to aways check	n uns last authorization.			
			Mr / /			
			1/4 / J			
Reg. No.:	27,5	550	SIGNATURE OF PRACTITIONER			
,	•		Alfred A. Fressola			
Tel. No.: (	203)	261-1234	(type or print name of practitioner) Ware, Fressola, Van Der Sluys & Adolphson LLP			
Customer	No.:	004955	Bradford Green, Building 5			
			P.O. Address 755 Main Street, P.O. Box 224 Monroe, CT 06468-0224			

(Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)
[13–19]—page 6 of 6)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Matti KALERVO et al. : Intl. Application No.: PCT/FI04/000545

Serial No.: 10/572,700 : Intl. Filing Date: September 17, 2004

Filed: March 20, 2006 : Priority Date: September 18, 2003

For: A method and a device for addressing data in a wireless network

Director of the U.S. Patent and Trademark Office

Mail Stop PCT

ATTENTION: EO/US

P.O. Box 1450

Alexandria, VA 22313-1450

## LETTER ACCOMPANYING RESPONSE TO THE NOTICE TO FILE MISSING REQUIREMENTS

Sir:

Please note that the Summary of Fees Due in the Notice of Missing Requirements is incorrect. We forwarded payment of the filing fees together with the application for entry into the US national stage in this matter. Attached is a copy of our check which was forwarded with the application.

Please further note that the total claims listed in the Notice to File Missing Requirements is also incorrect. There are 23 total claims not 28 and there are 2 independent claims.

Enclosed is our check for \$170.00 (\$130 surcharge and \$40 assignment recordation.

I hereby certify that this correspondence and all documents referred to as being enclosed are being deposited with the United States Postal Service on this date, <u>January 10, 2007</u>, in an envelope marked as, and with sufficient postage as, "Express Mail – Post Office to Addressee," Express Mail Label No. <u>EV 914765961 US</u>, addressed to the Commissioner of Patents, P.O. Box 450, Alexandria, VA 22313-1450.

Lissette Ramos

# PATENT Attorney Docket No. 915-001.081

Applicant believes that the enclosed check and documents complete the filing requirements for this application.

Please feel free to contact the undersigned if there are any questions regarding this matter.

Dated: January 10, 2007

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP Bradford Green, Building Five 755 Main Street, P.O. Box 224 Monroe, Connecticut 06468 Telephone: (203) 261-1234 Facsimile: (203) 261-5676

USPTO Customer No. 004955

Affred A. Fressola
Attorney for the Applicant

Registration No. 27,550

Respectfully submitted

30843

### WARE, FRESSOLA VAN DER SLUYS & ADOLPHSON LLP

755 MAIN STREET, BLDG: 5 MONROE, CT: 06468 (203) 261-1234 PEOPLE'S BANK 51-7218-2211

PAY

ONE THOUSAND ONE HUNDRED FIFTY AND NO/100

DATE

**AMOUNT** 

TO THE ORDER OF

03/20/06

\$1,150.00

Commissioner of Patents & Trademarks 915-001-081

#030843# #221172186#

032 7006860m

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WARE, FRESSOLA VAN DER SLUYS & ADOLPHSON LLP

30843

30843 03/20/06

Commissioner of Patents & Trademarks

\$1,150.00

INVOICE # 032006

915-001-081



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/572,700

Matti Kalervo

915-001.081

INTERNATIONAL APPLICATION NO.

PCT/FI04/00545

I.A. FILING DATE

PRIORITY DATE

09/17/2004

09/18/2003

4955 WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP BRADFORD GREEN, BUILDING 5 755 MAIN STREET, P O BOX 224 MONROE, CT 06468

**CONFIRMATION NO. 4521 371 FORMALITIES LETTER** OC000000021051449\*

Date Mailed: 11/13/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/20/2006
- Preliminary Amendments filed on 03/20/2006
- Information Disclosure Statements filed on 03/20/2006
- Request for Immediate Examination filed on 03/20/2006
- U.S. Basic National Fees filed on 03/20/2006
- Priority Documents filed on 03/20/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$610 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter. RECEIVED

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$740 for a Large Entity:

NOV 1 6 2006

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON

DOCKETED

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 610
  - \$250 for 8 total claims over 20.
  - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/572,700	PCT/FI04/00545	915-001.081

FORM PCT/DO/EO/905 (371 Formalities Notice)